

Patent No.: 50395-257

PATENT

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

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| In re Application of | : | Customer Number: 20277 |
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| Masashi ITO | : | Confirmation Number: 4729 |
| | : | |
| Serial No.: 10/797,197 | : | Group Art Unit: 2872 |
| | : | |
| Filed: March 11, 2004 | : | Examiner: Not yet assigned |
| | : | |
| For: SEMICONDUCTOR OPTICAL INTEGRATED DEVICE | | |

INFORMATION DISCLOSURE STATEMENT

Mail Stop Information Disclosure Statement
Commissioner for Patents
P.O. Box 1450
Alexandria, VA 22313-1450

Dear Sir:

In accordance with the provisions of 37 C.F.R. 1.56, 1.97 and 1.98, the attention of the Patent and Trademark Office is hereby directed to the documents listed on the attached form PTO-1449. It is respectfully requested that the documents be expressly considered during the prosecution of this application, and that the documents be made of record therein and appear among the "References Cited" on any patent to issue therefrom.

This Information Disclosure Statement is being filed within three months of the U.S. filing date OR before the mailing date of a first Office Action on the merits. No certification or fee is required.

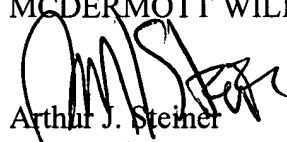
The relevance of each non-English language reference, if any, is discussed in the present specification.

10/797,197

Please charge any shortage in fees due in connection with the filing of this paper, including extension of time fees, to Deposit Account 500417 and please credit any excess fees to such deposit account.

Respectfully submitted,

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Date: August 2, 2004



SHEET 1 OF 1

INFORMATION DISCLOSURE
CITATION IN AN
APPLICATION

(PTO-1449)

ATTY. DOCKET NO.
50395-257SERIAL NO.
10/797,197APPLICANT
Masashi ITOFILING DATE
March 11, 2004GROUP
[case_group_art]

U.S. PATENT DOCUMENTS

| EXAMINER'S INITIALS | CITE NO. | Document Number Number-Kind Code ² (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear |
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FOREIGN PATENT DOCUMENTS

| EXAMINER'S INITIALS | CITE NO. | Foreign Patent Document Country Codes -Number 4 -Kind Codes (if known) | Publication Date MM-DD-YYYY | Name of Patentee or Applicant of Cited Document | Pages, Columns, Lines Where Relevant Figures Appear | Translation | |
|------------------------|-------------|--|--------------------------------|--|---|-------------|----|
| | | | | | | Yes | No |
| | | JP 07-066500 | 03/10/1995 | TOSHIBA CORP TOSHIBA ELECTRON ENG CORP | | Abstract | |
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OTHER ART (Including Author, Title, Date, Pertinent Pages, Etc.)

| EXAMINER'S INITIALS | CITE NO. | Include name of the author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published. | |
|------------------------|-------------|---|--|
| | | JUNGKEUN LEE et al.; "Broadband Double-Layer Antireflection Coatings for Semiconductor Laser Amplifiers"; <u>Japanese Journal of Applied Physics</u> ; c. 01/1997, pp. L52 - L54; Vol. 36 | |
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EXAMINER

DATE CONSIDERED

*EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

1 Applicant's unique citation designation number (optional). 2 Applicant is to place a check mark here if English language Translation is attached.